

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: H. Kutsumi et al.	: Art Unit:
Serial No.: 09/913,614	: Examiner:
Filed: 8/15/01	:
FOR: E-MAIL PREPARATION DEVICE,	:
TERMINAL DEVICE INFORMATION	:
FORWARDING SYSTEM AND PROGRAM	
RECORDING MEDIUM	

COMMUNICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

Pursuant to our telephone conversation with Mr. John L. Anderson of the Patent Cooperation Treaty Department of the USPTO, and in response to the Formalities Letter mailed on October 5, 2001, enclosed please find a copy of the PTO Form 1390 which was filed on August 15, 2001 indicating that Applicants have paid for fifty (50) total claims along with three (3) independent claims in the amount of \$1,670.00.

If, after a review of the claims by Mr. Anderson, discrepancies are found, the Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment to Deposit Account 18-0350.

Respectfully Submitted,


Allan Ratner, Reg. No. 19,717
Attorney for Applicants

AR/jam

Enclosures: Copy of PTO Form 1390 filed August 15, 2001

Suite 301

One Westlakes, Berwyn

P.O. Box 980

Valley Forge, PA 19482-0980

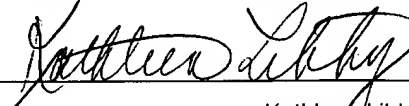
(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

EXPRESS MAIL Mailing Label Number: EL 743541798 US

Date of Deposit: DECEMBER 5, 2001

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Kathleen Libby

FORM PTO-1390 (Modified) (REV. 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER MTS-3278US #3	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/913,614	
INTERNATIONAL APPLICATION NO. PCT/JP00/00782		INTERNATIONAL FILING DATE 14 Feb 00 (14.02.00)		PRIORITY DATE CLAIMED 15 Feb 99 (15.02.99)	
TITLE OF INVENTION E-MAIL PREPARATION DEVICE, TERMINAL DEVICE, INFORMATION FORWARDING SYSTEM AND PROGRAM RECORDING MEDIUM					
APPLICANT(S) FOR DO/EO/US H. Kutsumi et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<div>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</div> <div>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</div> <div>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</div> <div>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</div> <div>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))<div>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</div><div>b. <input type="checkbox"/> has been transmitted by the International Bureau.</div><div>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div> <div>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</div> <div>7. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</div> <div>8. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<div>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</div><div>b. <input type="checkbox"/> have been transmitted by the International Bureau.</div><div>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</div><div>d. <input type="checkbox"/> have not been made and will not be made.</div></div> <div>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</div> <div>10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</div> <div>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</div> <div>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</div>					
Items 13 to 20 below concern document(s) or information included:					
<div>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</div> <div>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</div> <div>15. <input type="checkbox"/> A FIRST preliminary amendment.</div> <div>16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</div> <div>17. <input type="checkbox"/> A substitute specification.</div> <div>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</div> <div>19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</div> <div>20. <input checked="" type="checkbox"/> Other items or information:</div>					
<div>COMMUNICATION, PTO Form 1390 Filed August 15, 2001</div> <div>12/17/2001 UEDUWJE 00000213 09913614</div> <div>01 FC=154 130.00 OP</div>					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/913,614	INTERNATIONAL APPLICATION NO. PCT/JP00/00782	ATTORNEY'S DOCKET NUMBER MTS-3278US
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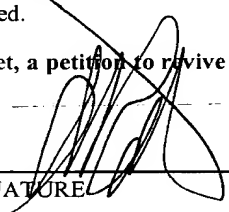
21. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :					
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00					
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00					
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	0 - 20 =	0	x \$18.00	\$0.00	
Independent claims	0 - 3 =	0	x \$80.00	\$0.00	
Multiple Dependent Claims (check if applicable).			<input checked="" type="checkbox"/>	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$0.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).				<input type="checkbox"/>	\$0.00
SUBTOTAL =				\$0.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				\$0.00	
TOTAL NATIONAL FEE =				\$0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				<input type="checkbox"/>	\$0.00
TOTAL FEES ENCLOSED =				\$0.00	
				Amount to be: refunded	\$
				charged	\$

- ☒ A check in the amount of **\$130.00** to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **18-0350** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Allan Ratner
Ratner & Prestia
P.O. Box 980
Valley Forge, PA 19482
Tel (610) 407-0700


SIGNATURE
Allan Ratner
NAME
19,717
REGISTRATION NUMBER
December 5, 2001
DATE

RATNER & PRESTIA

VENDOR: 001250 Comm. of Patents & Trademarks

CHECK NO:

19292
19292

OUR REF. NO.	YOUR INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT TAKEN
MTS-3278US		08/15/01	1,670.00		

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): KUTSUMI, ET AL

Docket No.

#3

MTS-3278US

Serial No.

09/913,614

Filing Date

AUGUST 15, 2001

Examiner

Group Art Unit

Invention:

**E-MAIL PREPARATION DEVICE, TERMINAL DEVICE, INFORMATION FORWARDING SYSTEM
AND PROGRAM RECORDING MEDIUM**

I hereby certify that the following correspondence:

PTO FORM 1390 AND ITS ENCLOSURES

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 5, 2001

(Date)

KATHLEEN LIBBY

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL 743541798US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO.

09/913,614

FIRST NAMED APPLICANT

Kutsumi H

ATTY. DOCKET NO.

MTS-3278US

INTERNATIONAL APPLICATION NO.

PCT/JP00/00782

IA. FILING DATE

02/14/2000

PRIORITY DATE

02/15/1999

Allen ratner
Ratner & Prestia
P.O. Box980
Valley Forge, PA 19482

RECEIVED

OCT 12 2001

RATNER & PRESTIA

CONFIRMATION NO. 2492

371 FORMALITIES LETTER



OC000000006847881

Date Mailed: 10/05/2001

*Miss. Reg. (2 mo.)
due 12/5/01*

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR

THE APPLICATION, WHICHEVER LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$126** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$256** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$126**
 - **\$126** for 37 total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

JOHN L ANDERSON

Telephone: (703) 308-9116

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/913,614	PCT/JP00/00782	MTS-3278US